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Commissioner for Patents  
Washington, D.C. 20231 on

February 2, 2001

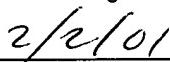
Date of Deposit

Paul E. Rauch, Ph.D., Reg. No. 38,591

Name of applicant, assignee or  
Registered Representative



Signature



Date of Signature



Case No. 10466-14/P1618P2C1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Avi Ashkenazi et al.

Serial No.: 09/665,350

Attention: Manager,  
Application Branch

Filed: September 18, 2000

For: SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated January 8, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- Applicant is:
  - a small entity, verified statement is:
    - attached
    - already filed
  - other than small entity
- Checks totaling \$130.00 for:
  - Filing Fee of \$\_\_\_\_\_.
  - Surcharge of \$130.00.
  - Additional Claim Fees of \$\_\_\_\_\_.
- Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- Other: Check for \$40.00 for Assignment Filing Fee.
- The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated

: 2/2/01

  
\_\_\_\_\_  
Paul E. Rauch, Ph.D.  
Registration No. 38,591  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

Sector \$

TRANSMITTAL LETTER			
Serial No. 09/665,350		Filing Date September 18, 2000	Examiner To Be Assigned
Inventor Avi Ashkenazi et al.			
Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in Duplicate); Check in amount of \$130.00 and Response To Notice To File Missing Parts of Nonprovisional Application; Copy Of Notice To File Missing Parts Of Nonprovisional Application; Combined Declaration and Power of Attorney; Check for \$40.00 and Assignment; with postcard.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.

The fee has been calculated as shown below:

				Small Entity	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		
Total		Minus				
Indep.		Minus				
First Presentation of Multiple Dep. Claim						
				Rate	Add'l Fee	or
				x \$9=		
				x 39=		
				+\$130 =		
				Total add'l fee	\$	total add'l fee

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Paul E. Rauch, Ph.D.  
Registration No. 38,591  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE

P.O. BOX 10395

CHICAGO, ILLINOIS 60610 - (312) 321-4200

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Commissioner for Patents, Washington, D.C. 20231, on February 2, 2001.

Date:

2/2/01

Signature:



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/665,350	09/18/2000	Avi Ashkenazi	P1618P2C1

Mark T Kresnak Inc  
Genentech Inc  
1 DNA Way  
South San Francisco, CA 94080-4990



**FORMALITIES LETTER**



\*OC000000005659082\*

Date Mailed: 01/08/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/08/2001 SDENB0B1 00000038 09665350

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